



Overview of UNDP Role in a GEF Project and GEF Monitoring & Evaluation Requirements

Project Inception Workshop for UNDP/GEF Project - *Market Transformation through the Introduction of Energy Efficiency Standards and the Labelling of Appliances in South Africa*

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This is a GEF (*Global Environmental Facility*) project – What is GEF?

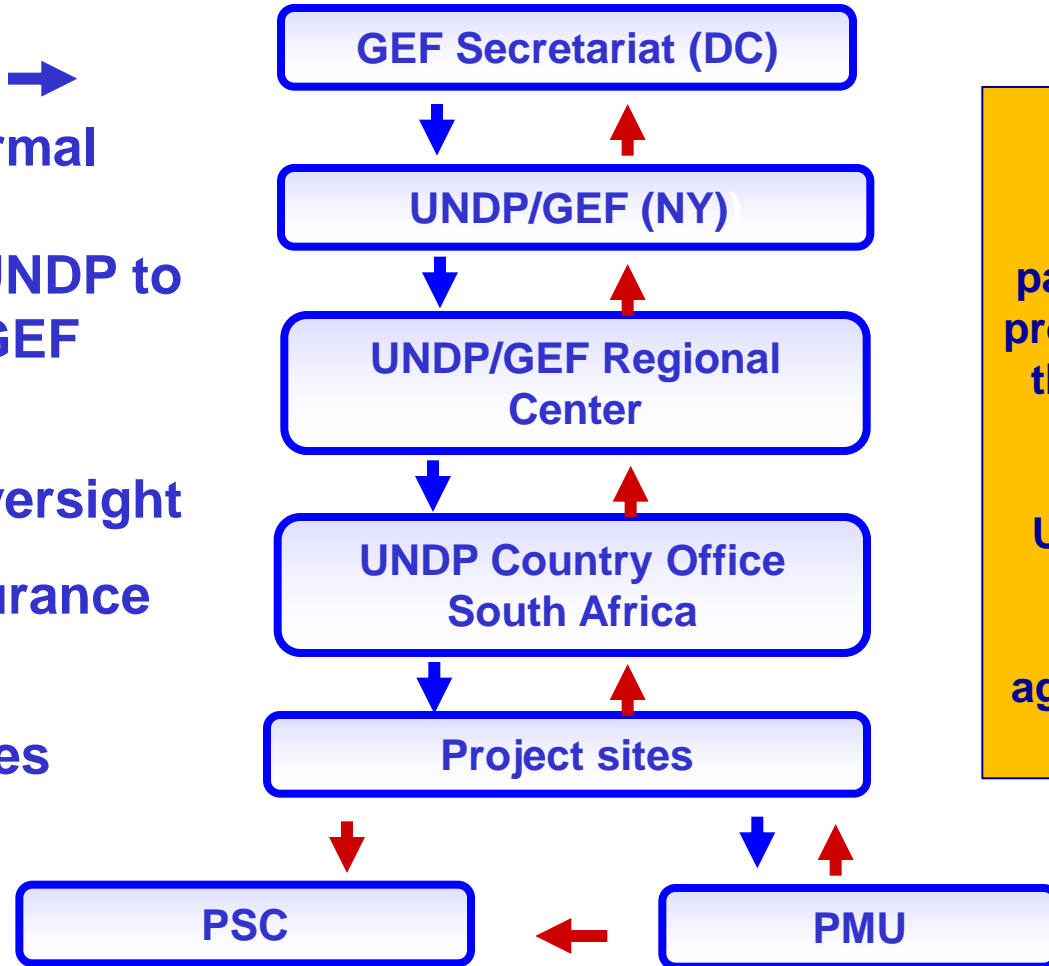
- **Financial mechanism of:**
 - ✓ UN Framework Convention on Climate Change
 - ✓ Convention on Biological Diversity
 - ✓ Stockholm Convention on Persistent Organic Pollutants
 - ✓ Several other global environment conventions
- **Six focal areas** - Climate change, biodiversity, land degradation, international waters, ozone depletion and persistent organic pollutants.
- **10 GEF agencies** – UNDP, UNEP, WB, Regional Development Banks, FAO, IFAD, UNIDO. UNDP and World Bank have the largest share.
- **182 member countries**
- **Currently the largest global funder for global environment protection**

Source: GEF

UNDP/GEF project implementation responsibilities

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GEF gives formal delegation of authority to UNDP to oversee the GEF project

- Fiduciary oversight
- Quality assurance
- M&E responsibilities



In this case the national implementing partner for the S&L project (signatory to the prodoc) is the Dept of Energy

UNDP is the GEF-accredited implementing agency responsible for oversight

Key UNDP/GEF rule for project management

- **Project objective and outcomes are difficult to change (they are considered major amendments and have to be formally approved by GEF)**
- **But project outputs, activities and sub-activities can be adjusted (they are considered minor amendments)**
- **Adaptive management is a key part of any GEF project.**
- **Annual work plan, based on the Total Budget and Workplan. Some flexibility to adjust budget but not carte blanche!**

Monitoring implementation and risks

- **Log-frame/Results Framework:**
 - *Sets out logic between outputs and outcomes*
 - *Monitor progress on objective and outcome targets*
- **Risks table: important to monitor political, institutional, technical and financial risks and take appropriate action.**
- **Regular monitoring visits from UNDP CO and UNDP/GEF RSC**
- **Use PSC meetings for discussion/resolution of key issues**

KEY M&E REPORTS

1. Inception report – progress to date at the inception stage
2. Quarterly operational reports – progress each quarter (there is a standard template provided for all UNDP/GEF projects in the country)
3. Project Implementation Reviews (PIRs) / Annual Project Report – progress for the year (this is a standard online template and requirement of all GEF projects). The PIR process is launched every year in late June and covers the previous 12 months.

Projects are rated on progress towards meeting **Development Objectives** (DO) and **Implementation Progress** (disbursements and conformity with reporting requirements).

Example of a Quarterly Report (UNDP SA format)

UNDP-GEF ORANGE-SENQU RIVER BASIN STRATEGIC ACTION PROGRAMME

Quarterly Progress Report

Reporting period 01 April to 30 June 2012
 Project title UNDP-GEF Development and Adoption of a Strategic Action Programme for Balancing Water Use and Sustainable Natural Resource Management in the Orange-Senqu River Basin
 Short project title UNDP-GEF Orange-Senqu River Basin Strategic Action Programme
 Atlas project ID 71508
 Implementing agency United Nations Development Programme (UNDP)
 Executing agency United Nations Office for Project Services (UNOPS)
 Project duration January 2010 to December 2013
 Project manager Christoph Mor (christophmo@unops.org)

1 Financial position

Budget details	Source of funds	Amounts in USD
Total project budget	GEF	6,300,000
Expenditures 2009	GEF	47,823
Expenditures 2010	GEF	674,861
Expenditures 2010	GEF	1,390,402
Project budget 2012	GEF	2,201,598
Expenditures during previous periods 2012	GEF	252,736
Expenditures during reporting period	GEF	333,170
Remaining balance 2012	GEF	1,615,692

2 Activities during reporting period

Outcome 1 Capacity of ORASECOM strengthened to coordinate initiatives, national institutions and donors in a harmonized manner to effectively promote the implementation of IWRM principles in the basin.

Targets 2012 ORASECOM ICT infrastructure:

- All hard-and software systems operational;
- Concept and agreement for hand-over of ICT maintenance to ORASECOM Secretariat in 2013;
- Upload of updated 'River Awareness Kit';
- Power backup, if required.

Orange-Senqu Water Information System (WIS):

- Enhancements as per recommendations of the Working Group;
- Further work on content and profiling of third party data custodians
- In-country consultations and training to prepare system hand-over;
- Metadata standards and QA/QS procedures, procedures for data and information sharing.

Capacity development activities:

- Concept for related project activities, based on the ORASECOM Capacity Development Programme;
- Implementation of capacity development, as per approved concept.

Activities conducted during reporting period Orange-Senqu Water Information System (WIS, wisp.orasecom.org):

- Contract extension for ICT consultant remains pending.
- Smaller software enhancements and trouble shooting.

Development of Transboundary EA Guidelines:

- Contract extensions for consultants remains pending.
- Some further work on current draft (TR 14, Rev 4, 21 Mar 12).

Capacity development activities:

- Concept note approved by ORASECOM Council (TR 17, Rev 1, 27 Jan 12).

Activities planned for next period ORASECOM Water Information System (WIS, data portal):

- Extension of contract of ICT consultant;
- Software enhancements, as per work plan
- Series of country presentations, including one-to-one training and meetings with third party data custodians in the countries.

Development of Transboundary EA Guidelines:

- Extension of contracts of consultants;
- Compilation of final draft.

Capacity development activities under the project:

- Implement capacity development activities as planned.

Issues None.

Inception Phase and Inception Report

- Project Background
- Report on changes in the project environment since the project was submitted
- Any changes made in the project activities or timeframe in the inception stage
- Updates on key issues/recommendations
- Terms of Reference for key positions (if applicable)
- Revised Logical Framework (if needed)
- UNDP “Atlas” Budget and ATLAS Risk Management
- Prepared by PMU

Managing projects to achieve results – Project Implementation Report (PIR)

Monitoring: keeping your 'eye on the ball'

- Purpose: to track progress and steer implementation to achieve objective and outcome targets
- Formal annual report is the PIR
- Useful to move from donor requirement to using the process as an opportunity to learn and improve.
- Ratings: PM, UNDP (CO & Regional centre) + GEF OFP & IP
- For EE projects, pay particular attention to quantifying GHG emission reductions (see next slide on CCM Tracking Tool)



CC Tracking Tool – Mid-term and Final

- Mandatory reporting on energy savings and accompanying CO2 emission reductions from project activities based on targets in ProDoc (done at mid-term and project end)
- **Emission reductions are the key metric of success** for projects funded by CC mitigation allocations

Emission reduction targets for S&L Project (from endorsement request)

Based on the data available during the preparation of this report, the estimate of the direct CO2 emissions reduction due to the implementation of mandatory S&L programs for the 12 selected appliances **will be 4.54 million tons CO2 avoided over the project time** (2011-2016; this assumes that the S&L regulations come into effect at the end of 2013 and thus ERs are calculated based on the years 2014-2016). The **cumulative post-project direct emission reductions from the regulations introduced can be estimated to increase to 33.9 million tons CO2 avoided by 2026** for the 12 appliances covered.

Evaluations – Mid-term and Final

- Mandatory
- Done by independent third party
- Major responsibilities of COs:
 - Draft ToR and submit for review and approval by RTA;
 - Select consultants
 - Circulates to government and major stakeholders
 - Follow up on management actions
- MTE and TE reports sent to GEF M&E for review and quality control
- Management responses need to be prepared after evaluations
- Final evaluation must be posted within 12 months of project closure

Thank You!

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